



APPLICATION FOR CUSTOMER REGISTRATION

TYPE OF PRODUCTS TO BE AVAILED

<input type="checkbox"/> Savings <input type="checkbox"/> PHP <input type="checkbox"/> USD <input type="checkbox"/> JPY <input type="checkbox"/> Others: _____ <input type="checkbox"/> Current (PHP Only) <input type="checkbox"/> Fixed Deposit <input type="checkbox"/> PHP <input type="checkbox"/> USD <input type="checkbox"/> JPY <input type="checkbox"/> Others: _____	<input type="checkbox"/> Loans <input type="checkbox"/> Others _____ <input type="checkbox"/> Treasury <input type="checkbox"/> Treasurer-in-Trust For
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GENERAL INFORMATION

Corporate Name*	SEC Date of Registration* (MM/DD/YYYY)	Place of Registration/Incorporation* (City and Country)
	SEC Registration No*	
	Tax Identification No.(TIN)*	Primary Location of Business* (City and Country)
Trade Name*	US TIN*	PEZA Registered* <input type="checkbox"/> Yes <input type="checkbox"/> No
Type of Business Organization* <input type="checkbox"/> Partnership <input type="checkbox"/> Association/Clubs/Other Organizations <input type="checkbox"/> Corporation / Cooperative <input type="checkbox"/> Treasurer-In-Trust <input type="checkbox"/> Others (Please specify) _____	Business Phone No.* <table border="1" style="width: 100%; height: 20px; border-collapse: collapse;"></table>	Business Email Address:*

Registered Business Address *

Unit/Floor No.	Premise/Bldg. Name	House/Bldg. No.	Street Name	District/Town/Barangay
City/Municipality		State/Province	Country	Postal Code

Mailing Address * (Please fill up only if different from Registered Address)

Same as Registered Business Address? Yes No

Unit/Floor No.	Premise/Bldg. Name	House/Bldg. No.	Street Name	District/Town/Barangay
City/Municipality		State/Province	Country	Postal Code

FINANCIAL INFORMATION

Source of Funds (Nature of Income)* <input type="checkbox"/> Business <input type="checkbox"/> Capital Infusion <input type="checkbox"/> Others _____	Purpose of Account* <input type="checkbox"/> Savings <input type="checkbox"/> Treasury products <input type="checkbox"/> Credit facilities/loans <input type="checkbox"/> Investment <input type="checkbox"/> Others <input type="checkbox"/> Settlement/ Bills Payment	Expected value of transaction of the Account* <input type="checkbox"/> Below USD 100,000 <input type="checkbox"/> USD 3,000,001 - USD 5,000,000 <input type="checkbox"/> USD 100,001 - USD 500,000 <input type="checkbox"/> USD 5,000,001 & above <input type="checkbox"/> USD 500,001 - USD 1,000,000 <input type="checkbox"/> USD 1,000,001 - USD 3,000,000
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Industry Type (Nature of Business)* <input type="checkbox"/> Agriculture <input type="checkbox"/> Construction <input type="checkbox"/> Food Processing <input type="checkbox"/> Internet/Online Gaming <input type="checkbox"/> Money Changer / Transmitter <input type="checkbox"/> Specialty Retailer <input type="checkbox"/> Aquaculture <input type="checkbox"/> Education <input type="checkbox"/> Food/Beverage Retailer <input type="checkbox"/> Jewels/Gems/Precious Metal <input type="checkbox"/> Non-Government Organization (NGO) <input type="checkbox"/> Telecommunication <input type="checkbox"/> Business Process Outsourcing <input type="checkbox"/> Electronics <input type="checkbox"/> General Merchandiser <input type="checkbox"/> Livestock Raising <input type="checkbox"/> Overseas Workers <input type="checkbox"/> Technical Service / Skills Provider <input type="checkbox"/> Financial Services <input type="checkbox"/> Import/Export Company <input type="checkbox"/> Manufacturing <input type="checkbox"/> Petroleum <input type="checkbox"/> Others (Please specify): _____

Gross Annual income (in USD equivalent)* <input type="checkbox"/> Below USD 500,000 <input type="checkbox"/> USD 500,001 - USD 1,000,000 <input type="checkbox"/> USD 1,000,001 - USD 2,000,000 <input type="checkbox"/> USD 2,000,001 - USD 3,000,000 <input type="checkbox"/> USD 3,000,001 & above
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Overseas Customers, If any:	Account/s with Others Banks		
Name of the Main Customers	Country	Bank Name	Products Availed

Name and details of companies and businesses in which the Directors, Partners or Managers have more than 10% interest. Please provide details of directorships held by these parties:

Name of Party (Director, Partners or Managers)	Company	Industry	Share

Account Name: _____

Affiliated Company, if any:

Company	Industry	Share

Details of any current or potential legal action, including any tax investigation or assessment, against the company:

Please disclose any dealings with any of the following OFAC Sanctioned countries:* Iran North Korea Cuba Syria Crimea
 None of the above

CLIENT ACKNOWLEDGEMENT

By signing this form, we certify that all information provided herein is true and accurate to the best of our knowledge. We agree to notify SMBC in writing of any change in the information supplied in this form.

We further agree:

- i. that we will comply with the Bank's applicable requirement/s for the facilities, products and/or services we will avail of and any agreements we may enter into in relation to such facilities, products and/or services.
 - ii. to comply with our tax obligations.
 - iii. that we will provide you any additional information you may require from us when such information is: (1) required by any law or regulation, or any governmental or regulatory authority, or (2) in relation to tax matters.
 - iv. to comply with all applicable laws and regulations on economic or financial sanctions or trade embargoes (collectively, "Sanctions") and all Sanctions imposed, administered or enforced from time to time by any authority, including, but not limited to, the U.S. Department of Treasury Office of Foreign Assets Control (OFAC), the United States Department of State, the United Nations Security Council, the Ministry of Finance of Japan, the Anti-Money Laundering Council of the Philippines or any other relevant regulatory agency, entity or body (collectively, "Authority"). We represent, warrant and undertake that no transactions (including, but not limited to, any facility or account) with SMBC will, directly or indirectly, be used to facilitate transactions to or from, be discharged through funds or assets sourced in any way from, or otherwise involve, any person, organization, country, entity or asset (including, but not limited to, vessels and aircrafts) subject of Sanctions or otherwise sanctioned by any Authority.
 - v. that if we apply for or open an account or make a placement (including, but not limited to, current or savings accounts or fixed or time deposits), that we have read and understood and agree to comply with SMBC's Regional Terms and Conditions Governing Accounts and Country Addendum: Philippines, which can be downloaded at: <https://www.smbc.co.jp/asia/philippines/forms/deposits-accounts-governance-terms.pdf>.
 - vi. that we confirm that none of our transactions involve or shall involve: (1) any goods, transactions or business relationship directly or indirectly related to North Korea (including without limitation transactions through intermediaries or relating or contributing to nuclear or ballistic-related activities or involving North Korean persons, entities or vessels or goods of North Korean origin), or (2) any goods, transaction or business relationship directly or indirectly relating to Iran or (3) any goods regulated by applicable laws for which it has not obtained the necessary approvals or permits from all relevant authorities, including, but not limited to, the Philippine Bureau of Customs and the Strategic Trade Management Office of the Department of Trade and Industry.
 - vii. that for any feedback, concern, complaint, or clarification regarding any transaction between the customer and the Bank (collectively, the "Customer Feedback"), whether under the Terms and Conditions Governing Accounts or otherwise, the customer may notify the Bank through its employees via personal visits, letters, emails, telephone, and/or facsimile. Alternatively, the customer may contact the Bank's Consumer Assistance Officer at (63)(2)8880-7100 or SMBC_customersupport@ph.smbc.co.jp or such contact information as the Bank may notify the customer from time to time. All such Customer Feedback will be addressed in accordance with the Bank's policies and procedures on customer feedback that the Bank may update and make available from time to time. From receipt of the Customer Feedback, the Bank will generally provide the customer with:
 - (i) an acknowledgement of its receipt within two (2) days;
 - (ii) where a final explanation or response is not yet available, an interim response within one (1) week or, for complex complaints, within two (2) weeks; and
 - (iii) depending on the complexity of the issues, an explanation or response within nine (9) days for simple cases, or within forty-seven (47) days in more complex cases.
- If the Bank will require additional time to resolve the case, it will inform the customer of the reason and the need for the extension, and the expected date of outcome which will be within a reasonable period of time. The customer may be required to furnish the Bank with additional details and/or documents in order to facilitate the Bank's investigation of the Customer Feedback. While Customer Feedback may be investigated by the Bank, as appropriate, the same will be without prejudice to the transaction subject of the Customer Feedback.
- Sumitomo Mitsui Banking Corporation - Manila Branch is regulated by the Bangko Sentral ng Pilipinas. <https://www.bsp.gov.ph>

Corporate Name: _____

By:

Signature Over Printed Name
(Authorized Signatory)

Date signed: _____

Signature Over Printed Name
(Authorized Signatory)

Date signed: _____

FOR BANK USE ONLY

Customer registration only. Customer code: _____

Nationality: _____

Deposits account opened, if applicable

Residency: RESIDENT NON-RESIDENT

Date approved: _____

OPD - DEPOSIT UNIT		
HOD	CHECKER	MAKER

BUSINESS PROMOTION		
HOD	CHECKER	MAKER